

AstraZeneca

AstraZeneca COVID-19 Vaccine (AZD1222)

National Adult and Influenza Immunization Summit
COVID-19 Vaccines Webinar Part 3

Lisa Glasser, MD
US Medical Affairs, Head Vaccines-Infectious Disease



1

Forward-Looking Statements

In order, among other things, to utilize the 'safe harbor' provisions of the US Private Securities Litigation Reform Act of 1995, AstraZeneca (hereafter "the Group") provides the following cautionary statement: this document contains certain forward-looking statements with respect to the operations, performance and financial condition of the Group, including, among other things, statements about expected revenues, margins, earnings per share or other financial or other measures. Although the Group believes its expectations are based on reasonable assumptions, any forward-looking statements, by their very nature, involve risks and uncertainties and may be influenced by factors that could cause actual outcomes and results to be materially different from those predicted. The forward-looking statements reflect knowledge and information available at the date of preparation of this document and the Group undertakes no obligation to update these forward-looking statements. The Group identifies the forward-looking statements by using the words: anticipates, "believes", "expects", "intends" and similar expressions in such statements. Important factors that could cause actual results to differ materially from those contained in forward-looking statements, certain of which are beyond the Group's control, include, among other things: the risk of failure or delay in delivery of pipeline or launch of new medicines; the risk of failure to meet regulatory or ethical requirements for medicine development or approval; the risk of failure to obtain, defend and enforce effective intellectual property (IP) protection and IP challenges by third parties; the impact of competitive pressures including expiry or loss of IP rights, and generic competition; the impact of price controls and reductions; the impact of economic, regulatory and political pressures; the impact of uncertainty and volatility in relation to the UK's exit from the EU; the risk of failures, or delays in the quality or execution of the Group's commercial strategies; the risk of failure to maintain supply of compliant, quality medicines; the risk of illegal trade in the Group's medicines; the impact of reliance on third-party goods and services; the risk of failure in information technology, data protection or cybersecurity; the risk of failure of critical processes; any expected gains from productivity initiatives are uncertain; the risk of failure to attract, develop, engage and retain a diverse, talented and capable workforce; the risk of failure to adhere to applicable laws, rules and regulations; the risk of the safety and efficacy of marketed medicines being questioned; the risk of adverse outcome of litigation and/or governmental investigations; the risk of failure to adhere to increasingly stringent anti-bribery and anti-corruption legislation; the risk of failure to achieve strategic plans or meet targets or expectations; the risk of failure in financial control or the occurrence of fraud; the risk of unexpected deterioration in the Group's financial position; and the impact that the COVID-19 global pandemic may have or continue to have on these risks, on the Group's ability to continue to mitigate these risks, and on the Group's operations, financial results or financial condition. Nothing in this document, or any related presentation/webcast, should be construed as a profit forecast.

2

AGENDA

AZD1222 Adenoviral Platform, Clinical Development Plan, Phase I/II Data

US Phase III Study

Vaccine Storage & Handling

Summary

Q&A



3

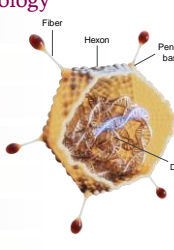
AZD1222 Adenoviral Platform Clinical Development Plan & Phase I/II Data



4

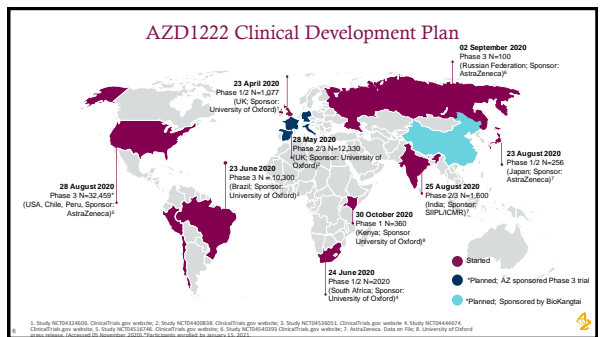
AZD1222: The Technology

- Non-replicating chimp adenovirus-vectored vaccine expressing rCoV-19 spike¹
- Non-replicating due to E1 (and E3) gene deletion²
- Chimp adenovirus avoids issues with pre-existing immunity to human adenoviruses³
- Vaccine antigen encoded in the viral genome – not a structural part of the virion⁴
- Induces strong B- and T-cell responses after a single vaccination¹
- Prior to April 2020, 12 Phase I studies, 330 subjects vaccinated
- Dose is 5 x 10¹⁰ viral particles (vp) as an IM injection, 0.5 ml¹



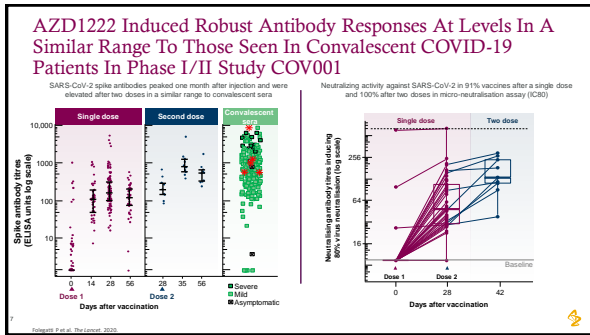
5

AZD1222 Clinical Development Plan

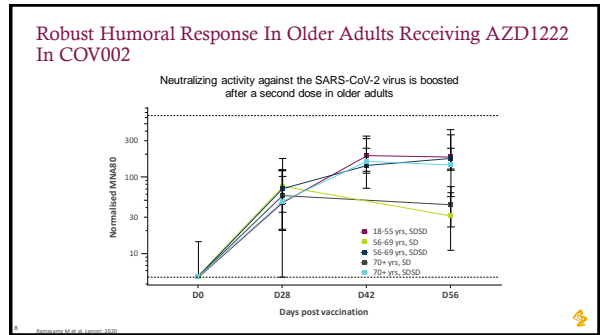


- 23 April 2020 Phase 1/2 N=1077 (UK; Sponsor: University of Oxford)
- 28 August 2020 Phase 3 N=32,450¹ (USA, Chile, Peru; Sponsor: AstraZeneca)
- 23 June 2020 Phase 3 N=10,300 (Brazil; Sponsor: University of Oxford)
- 28 May 2020 Phase 2/3 N=12,330 (UK; Sponsor: University of Oxford)
- 24 June 2020 Phase 1/2 N=2020 (South Africa; Sponsor: University of Oxford)
- 30 October 2020 Phase 3 N=300 (Kenya; Sponsor: University of Oxford)
- 25 August 2020 Phase 2/3 N=1,600 (India; Sponsor: IISILICMR)
- 23 August 2020 Phase 1/2 N=256 (Japan; Sponsor: AstraZeneca)
- 02 September 2020 Phase 3 N=100 (Russian Federation; Sponsor: AstraZeneca)

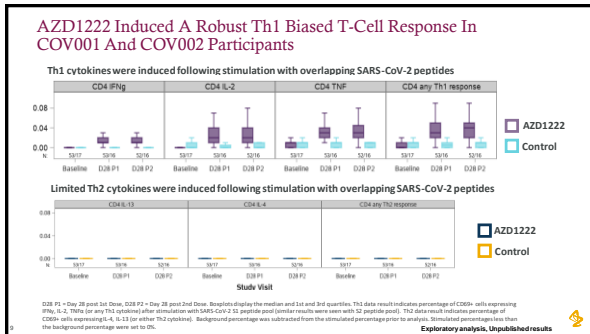
6



7



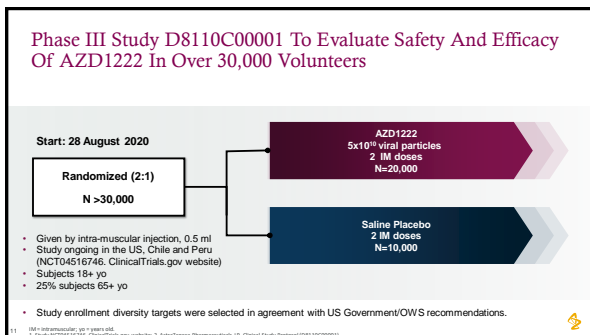
8



9



10



11

Phase III Study D8110C00001 Diversity And Enrollment

Race	Enrolled*	Age groups and comorbidities*	Enrolled
Hispanic/Latin	11.2%	65+ years old	23.6%
Black or African American	9.8%	<65 years old	76.4%
Asian	5.3%	Has comorbidity	57.8%
American Indian	1.8%	No comorbidity	42.2%
Hawaiian or Pacific Islander	0.4%		
White	71.5%		

*US enrollment only

32,459 participants enrolled; 26,327 received second dose by Jan 21, 2021

Source: Moderna mRNA-1273 Clinical Study Report (D8110C00001)

12



13

AZD1222 Storage And Administration

Storage

Refrigerator

- Store in refrigerator (2 to 8°C)
- Shelf life = 6 months
- Do not freeze
- Keep vials in outer carton to protect from light

Administration

Multi-dose Vial

- After first puncture cumulatively store up to 6 hours at room temperature or up to 48 hours at 2-8°C with total storage time not to exceed 48 hours.
- No dilution or reconstitution

14



15

Summary: AZD1222 offers a potential to address the Global COVID-19 Crisis

- AZD1222 induces robust immune responses against the SARS-CoV-2 S protein:
 - Spike Antibodies increased after a second dose with GMTs comparable to convalescent sera
 - Neutralizing Antibodies titers observed in all participants following 2nd dose
 - Strong Th-1 biased CD4+ T Cell response observed
- US Phase III study ongoing with 32,459 participants enrolled with co-morbidities, older adults and diverse backgrounds
 - 26,327 received second dose by Jan 21, 2021
- Efficacy and safety were demonstrated in four Phase I-III studies in UK, Brazil and South Africa
- AZD1222 has the potential to address the SARS-CoV-2 pandemic and has been authorized in 18 countries (under emergency use or full approval as of January 25, 2021)

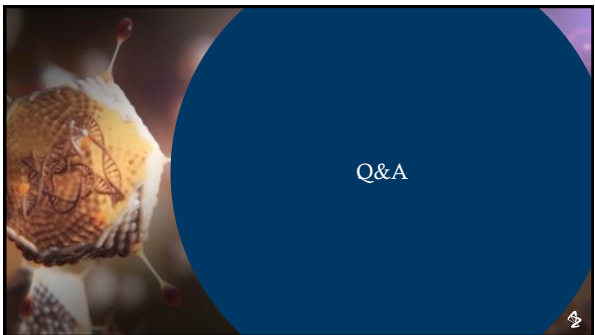
16

Thank You

to our collaborators, investigators and subjects:

- University of Oxford
- BARDA
- NIAID
- DoD
- The AstraZeneca Team
- Clinical trial sites personnel and investigators
- **All our trial participants**

17



18



19

Phase III Study D8110C00001 Case Definition Of Symptomatic COVID-19 Disease

Primary efficacy endpoint: Symptomatic illness

- First case of SARS-CoV-2 RT-PCR-positive symptomatic illness occurring > 14 days post administration of study intervention. Participants included if they meet following criteria at any point from Day 1 (initial visit) through Day 14

Subjects will be counted as a case if they have: 1) One or more category A findings OR 2) Two or more category B symptoms

Specificity (Pathogen Confirmation)	Category A: Lower respiratory tract involvement (one or more)	Category B: Systemic/ other symptoms (two or more)
SARS-CoV-2 confirmed • Positive RT-PCR	<ul style="list-style-type: none"> Pneumonia diagnosed by chest x-ray, or CT scan O₂ sat of ≤ 94% on room air or 2 percentage point drop from baseline New or worsening dyspnea/shortness of breath 	<ul style="list-style-type: none"> Fever > 37.8° C (100° F) or feverishness New or worsening cough Myalgia/ muscle pain Fatigue that interferes with activities of daily living Vomiting or diarrhea Anosmia or ageusia

Safety endpoint:

- Occurrence of adverse events :
 - Incidence of AEs for 28 days post each dose
 - Incidence of AEs, MAAEs, and AESIs from Day 1 post treatment throughout study

AE = adverse event; AESI = adverse event of special interest; MAAE = medically attended adverse event.
AstraZeneca Pharmaceuticals (UK) Clinical Study Protocol D8110C00001

20

Phase III Study D8110C00001 Clinical Hold Summary

- Study was initiated on 28 Aug and paused by AstraZeneca on 6 Sep. Clinical hold was issued on 9 Sep and lifted on 23 Oct; study restarted on 28 Oct
- The study was paused due to an event of transverse myelitis reported in the Phase II/III study conducted by the University of Oxford in the UK
- Information provided to FDA:**
 - Additional details on neurological events in studies sponsored by AstraZeneca and University of Oxford
 - Analyses of available clinical safety data from AZD1222 and ChAdOx-1 viral vector platform studies
- Changes in study conduct implemented**
 - Updated risk language in Informed Consent Form (ICF) and Investigator Brochure (IB)
 - Protocol changes
 - Establishment of independent expert neurology panel
 - Accelerated/increased safety reporting

21